

# **Pulsed Lidar for Measurement of CO<sub>2</sub> Concentrations for the ASCENDS Mission - Update**

*Presentation to:  
NASA ESTF Conference, Paper B8P1  
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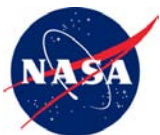
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Supported by:  
NASA ESTO IIP , NASA ASCENDS, Goddard IRAD programs

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# Laser Sounder Approach

## A candidate for the ASCENDS Mission

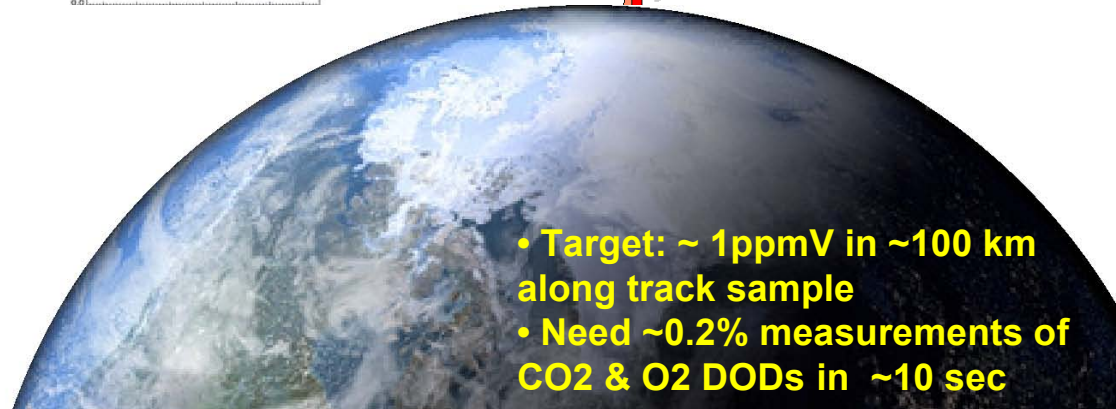
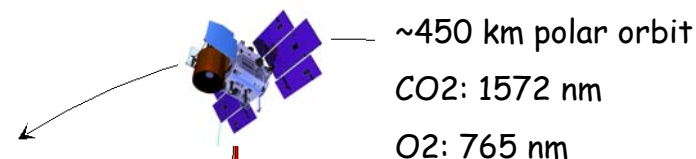
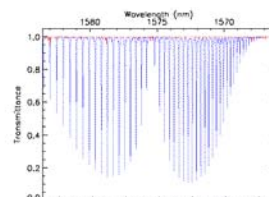


### Simultaneous laser measurements:

1. CO<sub>2</sub> lower tropospheric column  
One line near 1572 nm
2. O<sub>2</sub> total column (surface pressure)  
Measure 2 lines near 765 nm
3. Altimetry & atm backscatter profile  
Range resolved CO<sub>2</sub> signal

#### Measures:

- CO<sub>2</sub> tropospheric column
- O<sub>2</sub> tropospheric column
- Cloud backscattering profile



- Target: ~ 1ppmV in ~100 km along track sample
- Need ~0.2% measurements of CO<sub>2</sub> & O<sub>2</sub> DODs in ~10 sec

### Measurements use:

- Pulsed lasers
- ~10 KHZ pulse rates
- 8 laser wavelengths for CO<sub>2</sub> line
- Time resolved photon sensitive receiver

### CO<sub>2</sub> & O<sub>2</sub> column measurements:

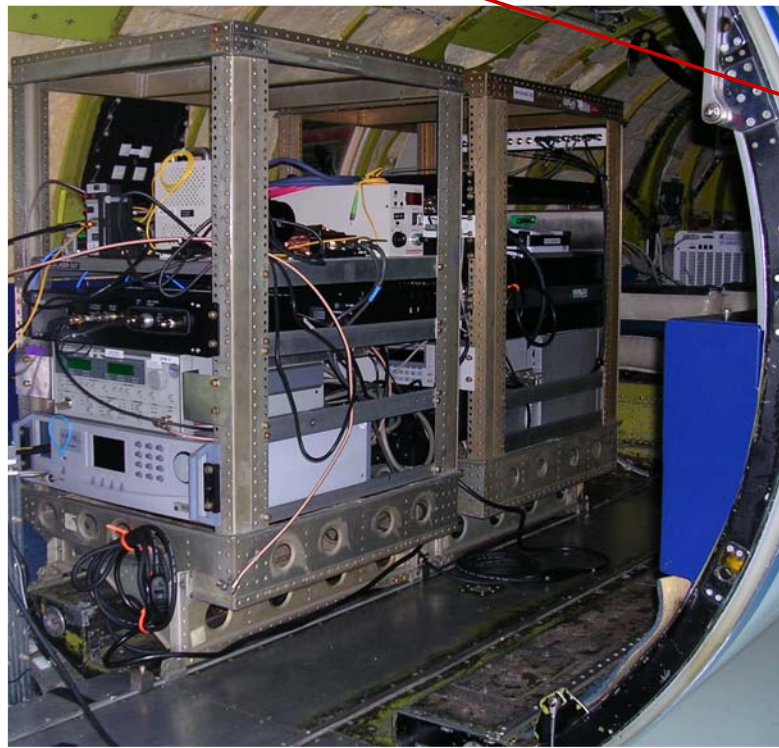
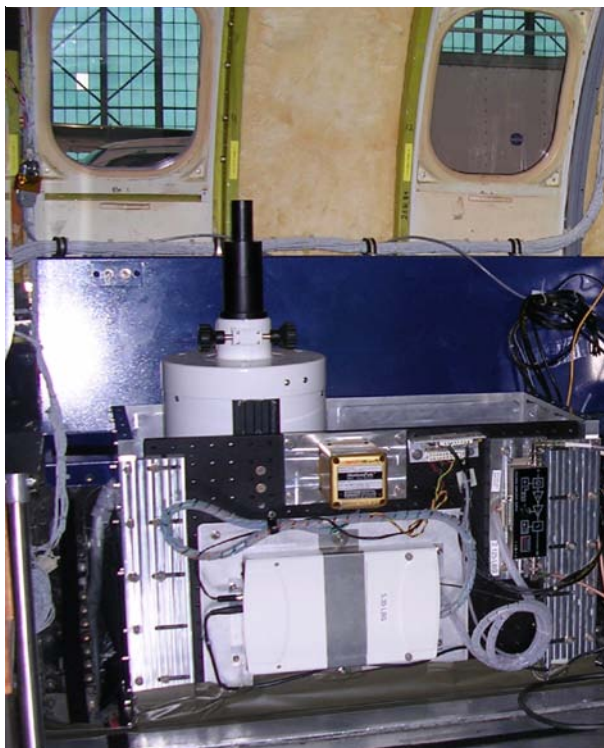
- Pulsed signal approach :
  - Isolate full column signal from surface
  - Reduces noise from detector & solar background
  - Time of flight provides column length



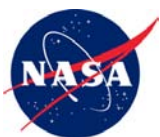
# Pulsed Airborne CO<sub>2</sub> Sounder Lidar on the NASA Glenn Lear-25 (Airborne demonstration measurements for this approach for ASCENDS)



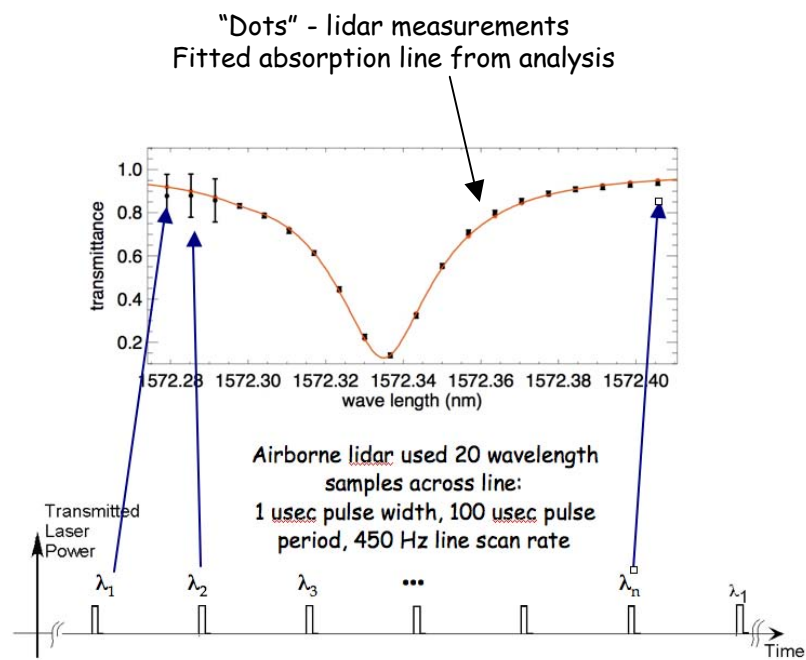
Experiment Team in Ponca  
City OK, USA  
(2008 & 2009)



View of nadir port showing  
transmit and receiver  
windows



# CO2 Band, Airborne Line Sampling & Absorption line analysis

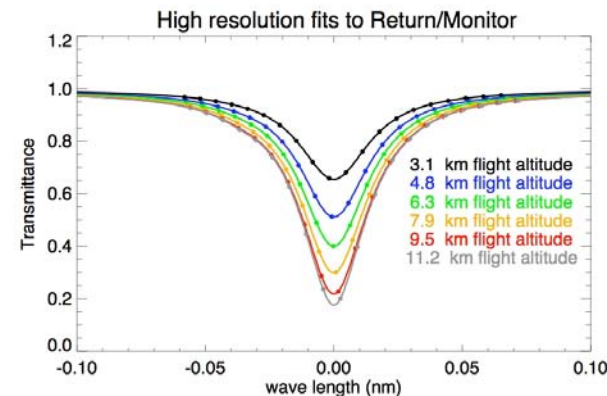


CO2 Line: 1572.33 nm

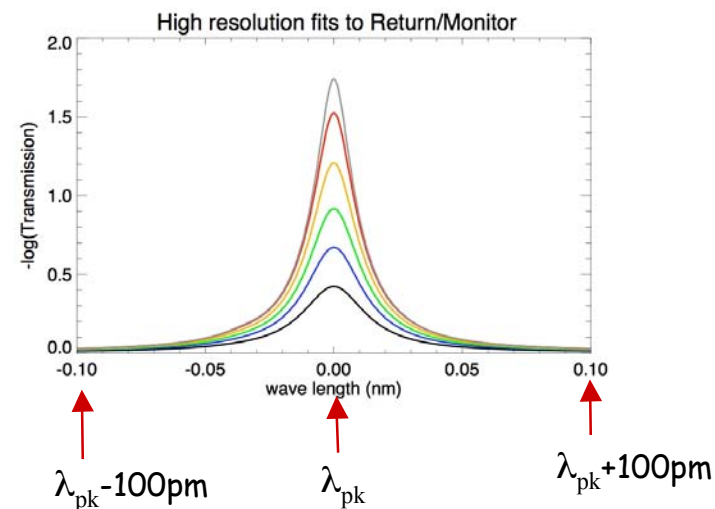
Multi-wavelength Line Sampling allows:

- Detection & correction of Doppler &  $\lambda$  errors
- Modeling & reducing errors from varying  $\lambda$  response
- CO2 retrievals for lower & upper troposphere

Line Transmission vs wavelength at increasing alt.'s



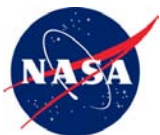
Optical Depth of fitted lines at increasing alt.'s



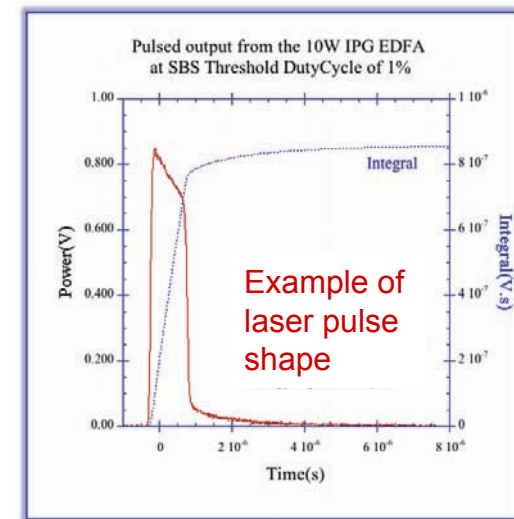
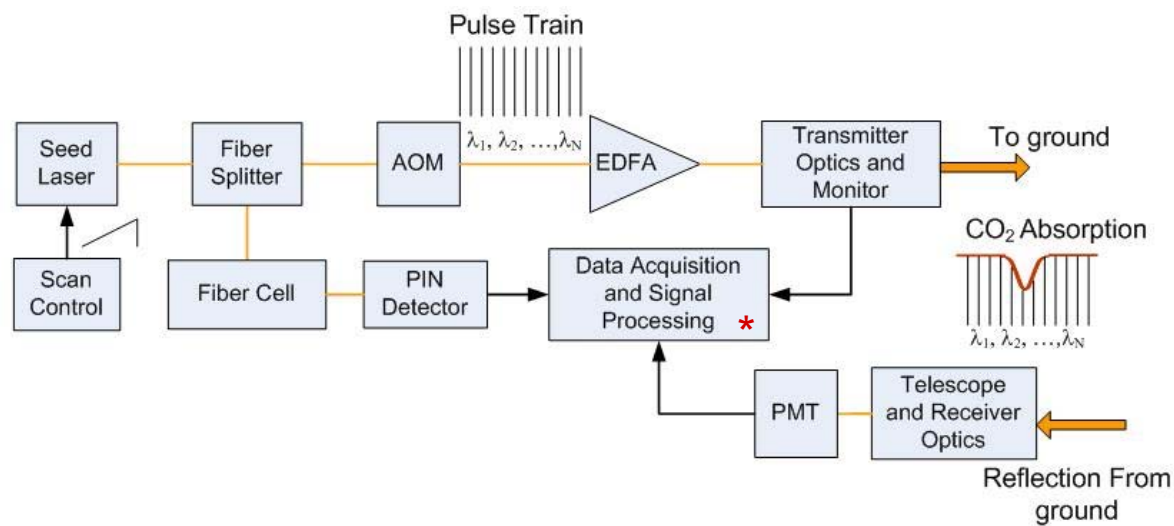
*Note: Other  $\lambda$ 's may be chosen for analysis*

For this analysis:  
Retrieved Values:  
Line Differential Optical Depth:  
DOD =

$$\text{OD}(\lambda_{pk}) - [\text{OD}(\lambda_{pk}-100) + \text{OD}(\lambda_{pk}+100)]/2$$



# Pulsed Airborne CO<sub>2</sub> Lidar - 2009



## 2009 CO<sub>2</sub> Lidar Parameters:

Laser power & energy:	<b>0.24 W</b> , 24 uJ/pulse	Laser divergence angle:	100 urad
Laser pulse width & rate:	1 usec, 10 kHz	Laser type:	DFB diode laser, AOM, Fiber amplifier
CO <sub>2</sub> line:	1572.33 nm	Wavelength scans:	20 wavelengths, 450 Hz
Wavelength span:	~114 pm	Wavelength spacing:	6 pm
Telescope diameter:	20 cm	Receiver FOV:	200 urad
Receiver opt. bandwidth:	0.8 nm	Receiver transmission:	65%
Detector quantum efficiency:	~5%	PMT dark count rate:	~ 500 kHz
Receiver range bin size:	8 nsec	Receiver recording duty cycle:	50% (1 sec every 2 sec)

- Configured as space lidar simulator - low laser power (0.24 W)
- \*- The 2009 receiver's electronic counter limited maximum recorded signal levels
- Recorded signal rate on 2009 flights was ~ x25 weaker (=> noisier) than planned for space



1. Cessna Takeoff  
(DOE in-situ CO2 sensor)



2. Twin Otter Takeoff  
(JPL 2 um lidar)



3. Lear Takeoff  
(GSFC CO2 Sounder lidar)

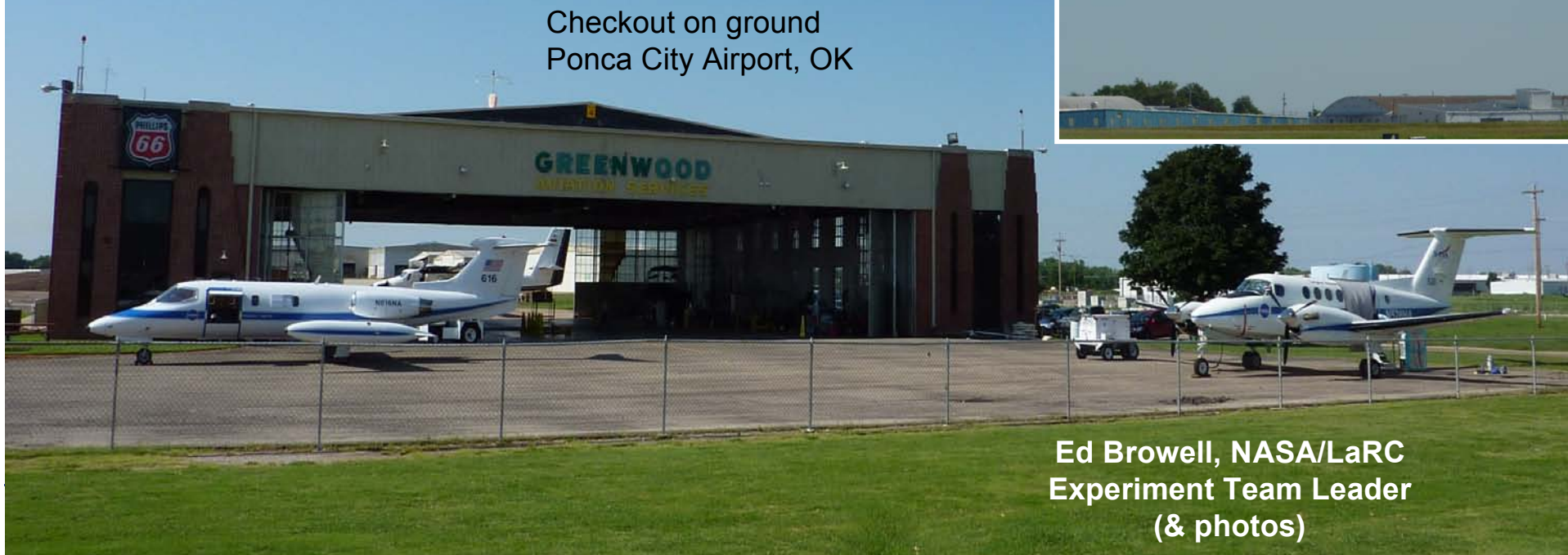


Coordinated Airborne Experiments to  
Measure CO2 column densities to support  
ASCENDS Science Mission Definition  
(August 2009)

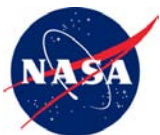
4. UC-12 Takeoff  
(LaRC/ITT Lidar, LaRC in-situ)



Checkout on ground  
Ponca City Airport, OK



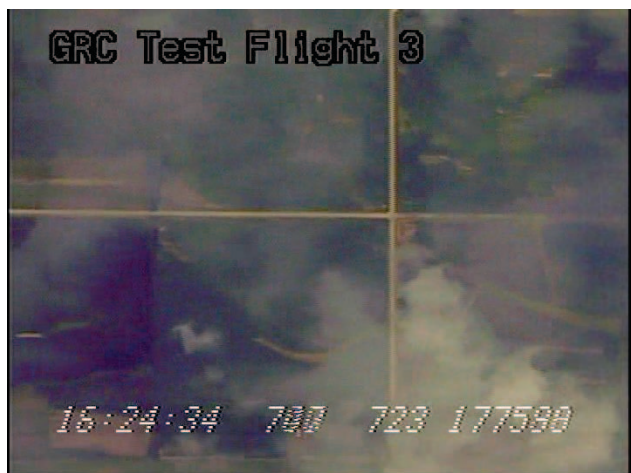
Ed Browell, NASA/LaRC  
Experiment Team Leader  
(& photos)



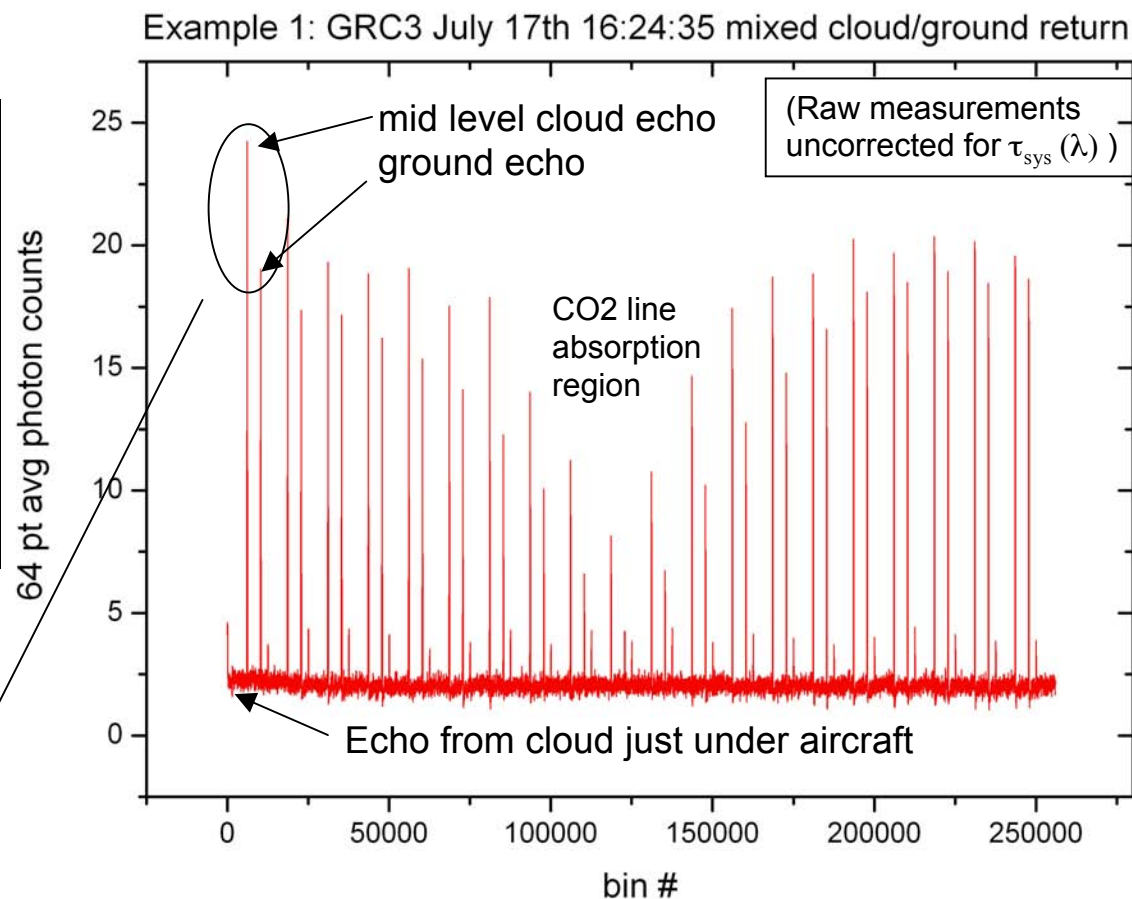
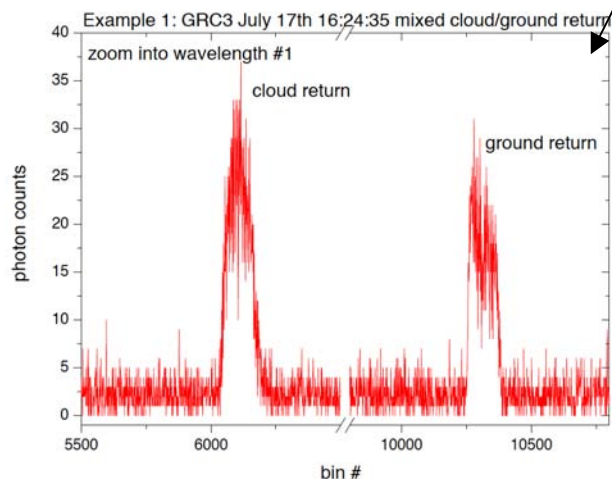
## Examples of Measurements through 2 Cloud layers (cloud, cloud, ground echo pulses)



Nadir Camera Image for Measurement



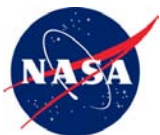
Expanded view of 1st echo pulse group in sequence



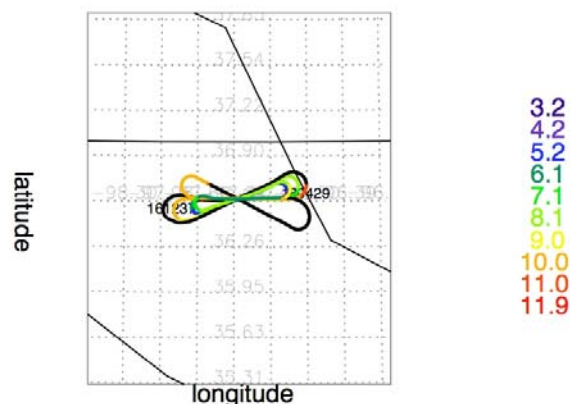
Note:

Absorption line shape to clouds - thinner, less deep

Absorption line shape to ground - broader & deeper

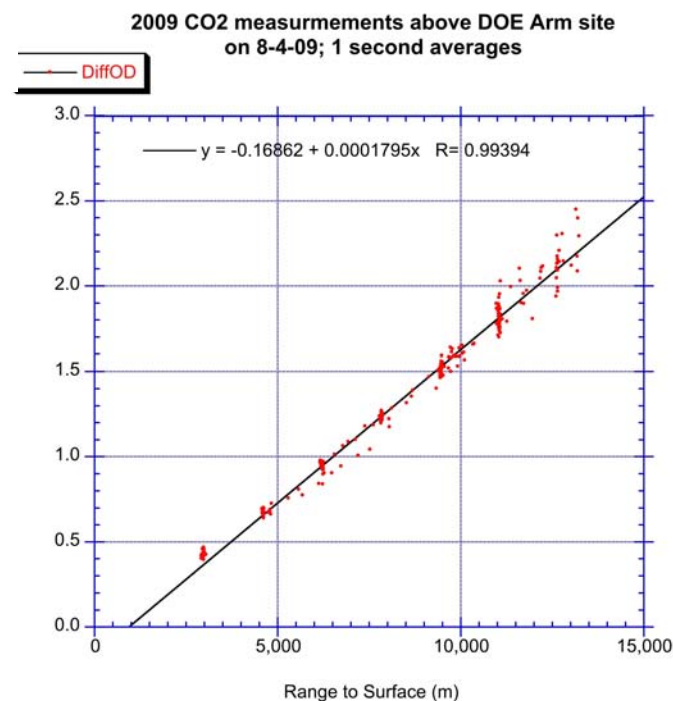
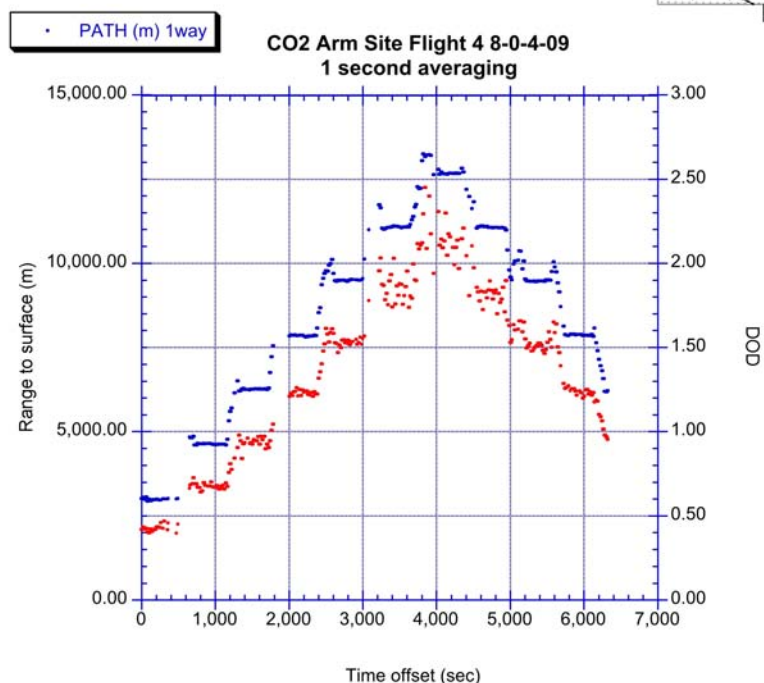


# Flight Above DOE Arm Site, Lamont OK on 8-4-09; 1 second averages (Uncalibrated)

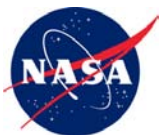


1747 measurements  
Min  $\{\sigma \text{ (range)}\} = 3 \text{ m}$

Measured DOD vs  
range is quite linear

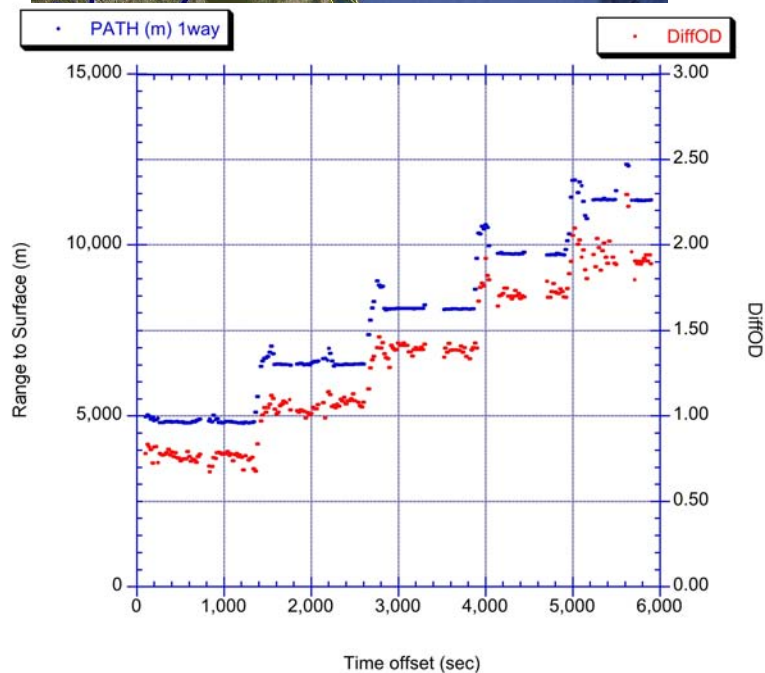
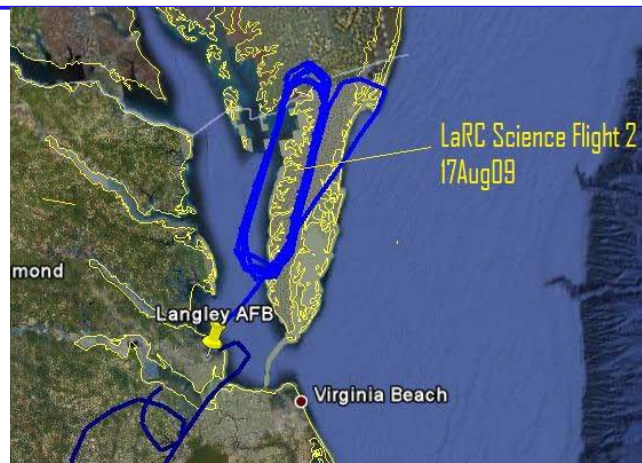






# Flight Above Eastern Shore of VA on 8-17-09

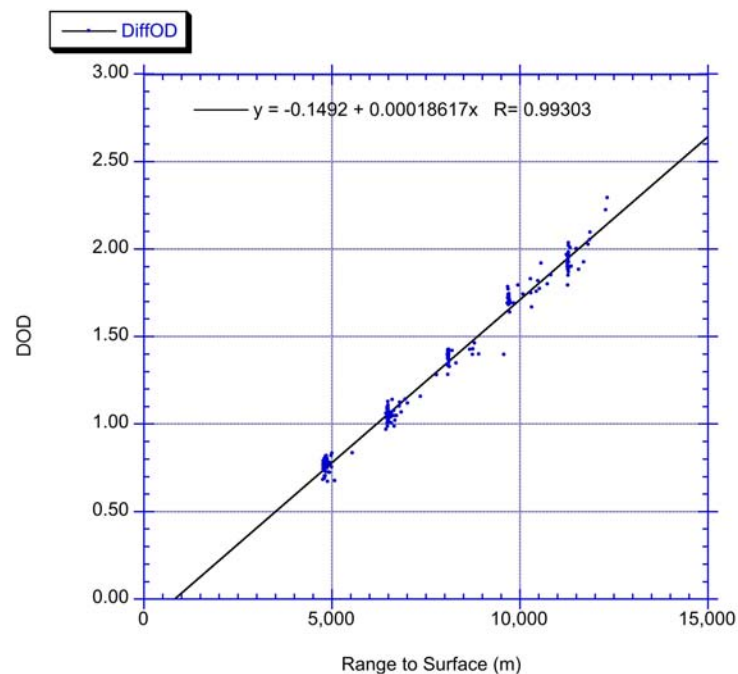
## 1 second averages, Uncalibrated

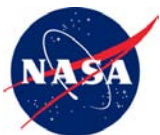


2031 measurements  
Min  $\{\sigma \text{ (range)}\} = 1.8 \text{ m}$

Measured DOD vs  
range is quite linear

2009 CO<sub>2</sub> flight above Eastern Shore of VA  
8-17-09; 1 sec averages





# 2009 - Ave'd column DOD Measurement (uncalibrated) with in-situ & HITRAN 08



## DOD fits from in-situ & HITRAN 08

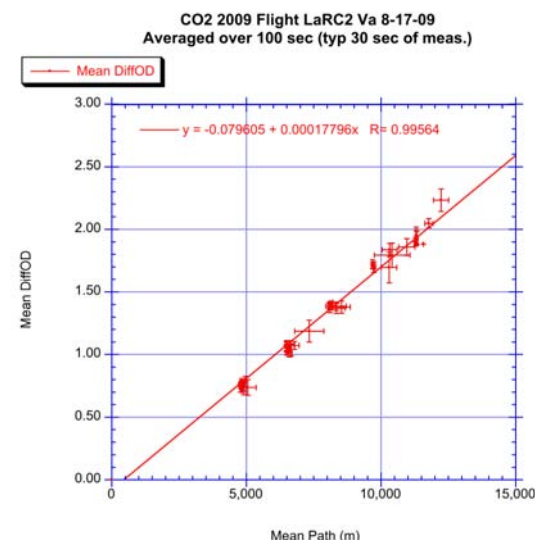
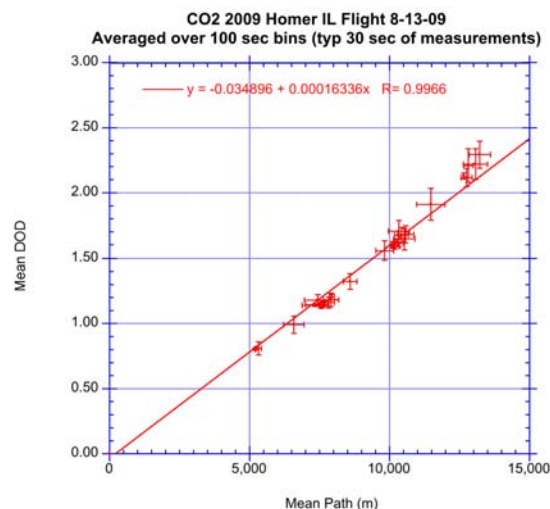
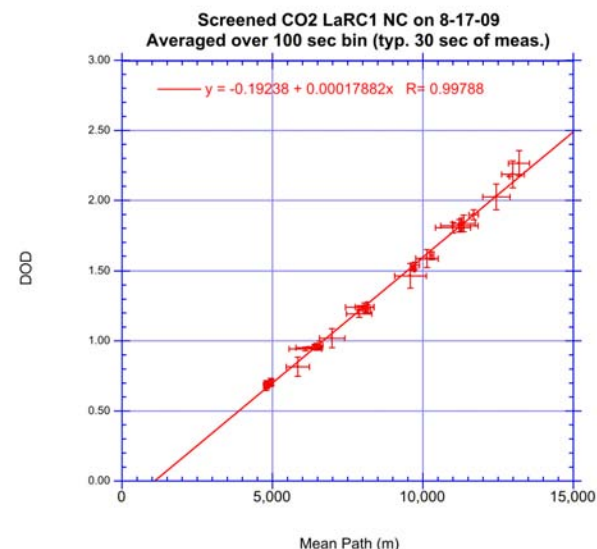
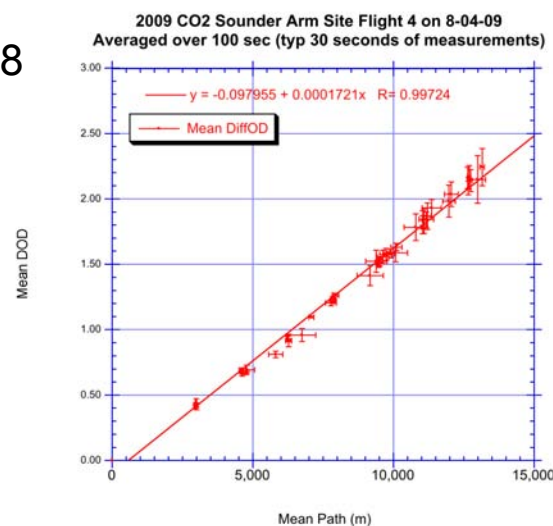
### 2009 Flight comparisons of DOD

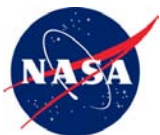
Location	Hitran DOD Slope*	Averaged Airborne Lidar Slope*
ARM Site	160	172
Homer IL	160	163
NC	156	179
VA	160	178
Averages:	159	173

\*: \* 1.e-6/m

Percent Difference:  
(Airborne/Hitran) 8.8

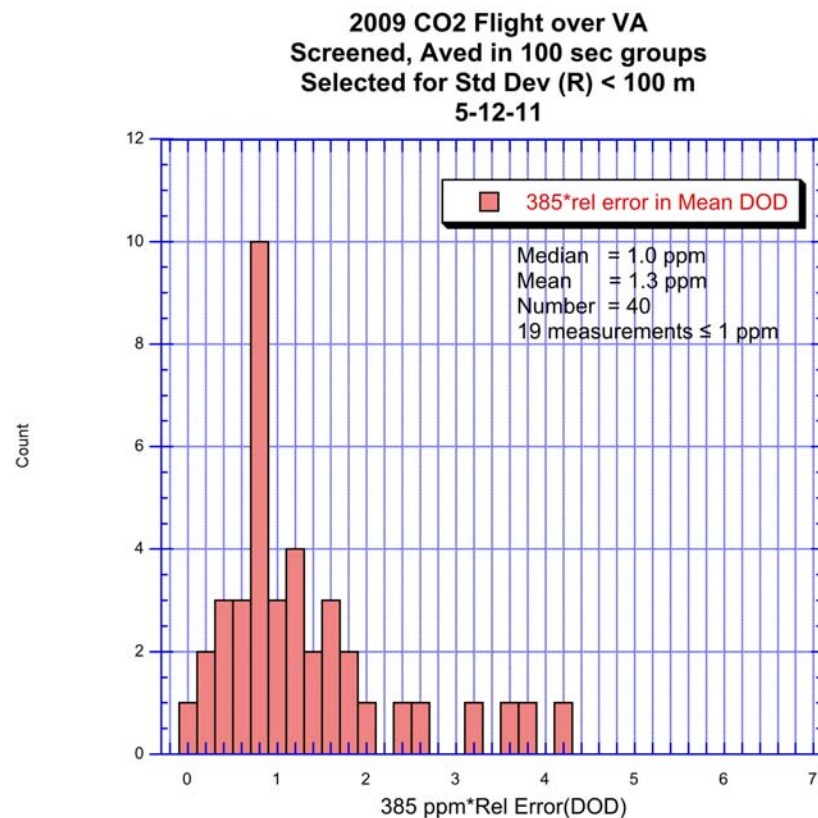
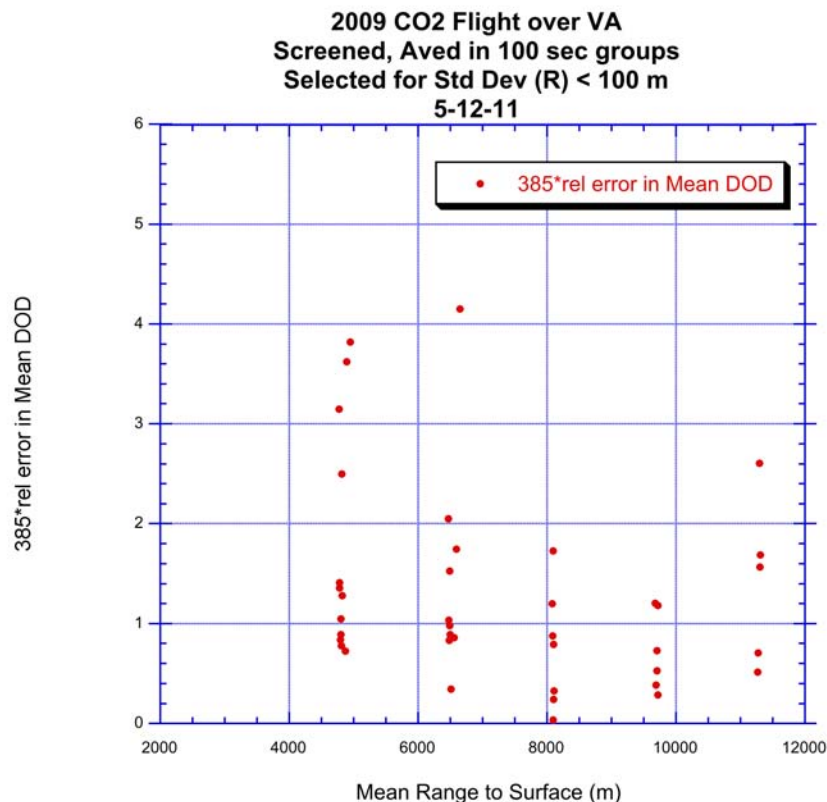
*Using in-situ measurements  
for calibration removes  
slope and offset differences*





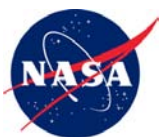
# 2009 measurements - VA Flight

## Constant altitude segments



Median error = 1.0 ppm  
For R = [8-10 km] errors ~0.5 ppm





# Airborne Experiments to Measure CO<sub>2</sub> Column Densities to Support ASCENDS Mission Definition; July 5-18, 2010

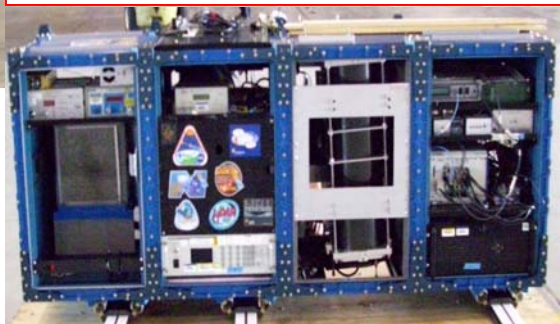


Objective: Measure & compare CO<sub>2</sub> column densities over various topographic targets with developmental lidar candidates for the ASCENDS mission

5 science flights over different regions & topography  
Altitudes: 3-13 km (in ~3 km steps),+ spiral to near surface

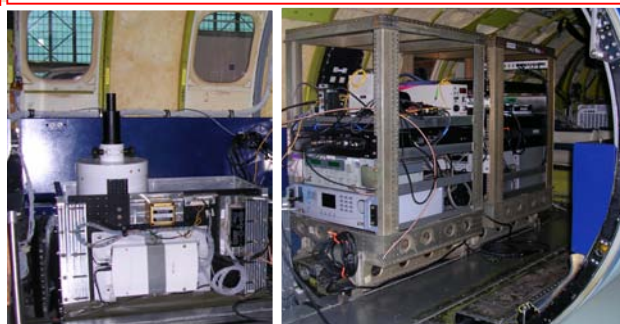


LaRC/ITT instrument



- Multi-functional Fiber Laser Lidar (MFL)
- Ed Browell/LaRC, Team Leader
- Instrument development via ITT IRAD, NASA AITT funding, LaRC IRAD

GSFC instrument



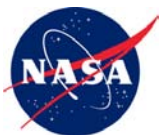
- CO<sub>2</sub> Sounder lidar with O<sub>2</sub> measurement experiment
- Jim Abshire/GSFC, Team Leader
- Instrument development via NASA ACT & IIP programs, GSFC IRAD

JPL/LMCT instrument

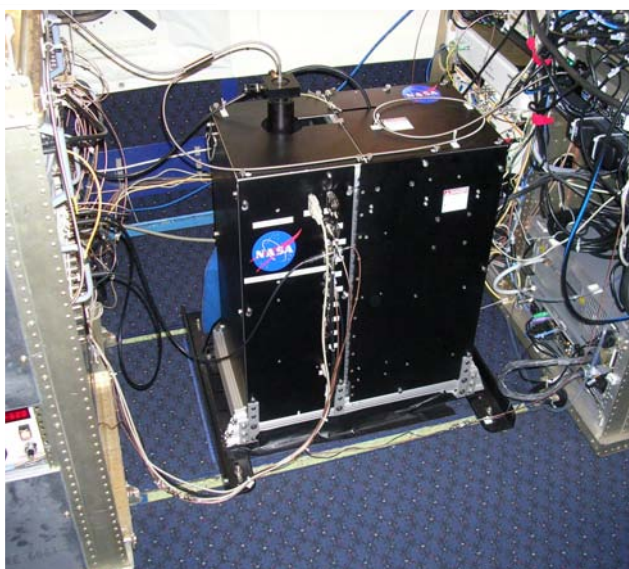


- CO<sub>2</sub> laser absorption spectrometer (CO<sub>2</sub>LAS)
- Gary Spiers/JPL, Team Leader
- Instrument development via NASA ACT, IIP & AITT programs, JPL IRAD





# July 2010 CO<sub>2</sub> Sounder Configuration flown on NASA DC-8



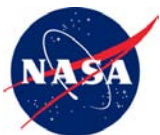
## 2010 CO<sub>2</sub> lidar improvements:

- Improved receiver photon counter  
-> ~x3 stronger recorded signals
- Increased  $\lambda$  samples across line ~x2
- Increased recording duty cycle x4/3
- Consistent settings during operation
- Added O<sub>2</sub> lidar experiment
- Better temperature control on DC-8

## CO<sub>2</sub> Results:

- Recorded signal increased ~x9
- Better constrained line fits



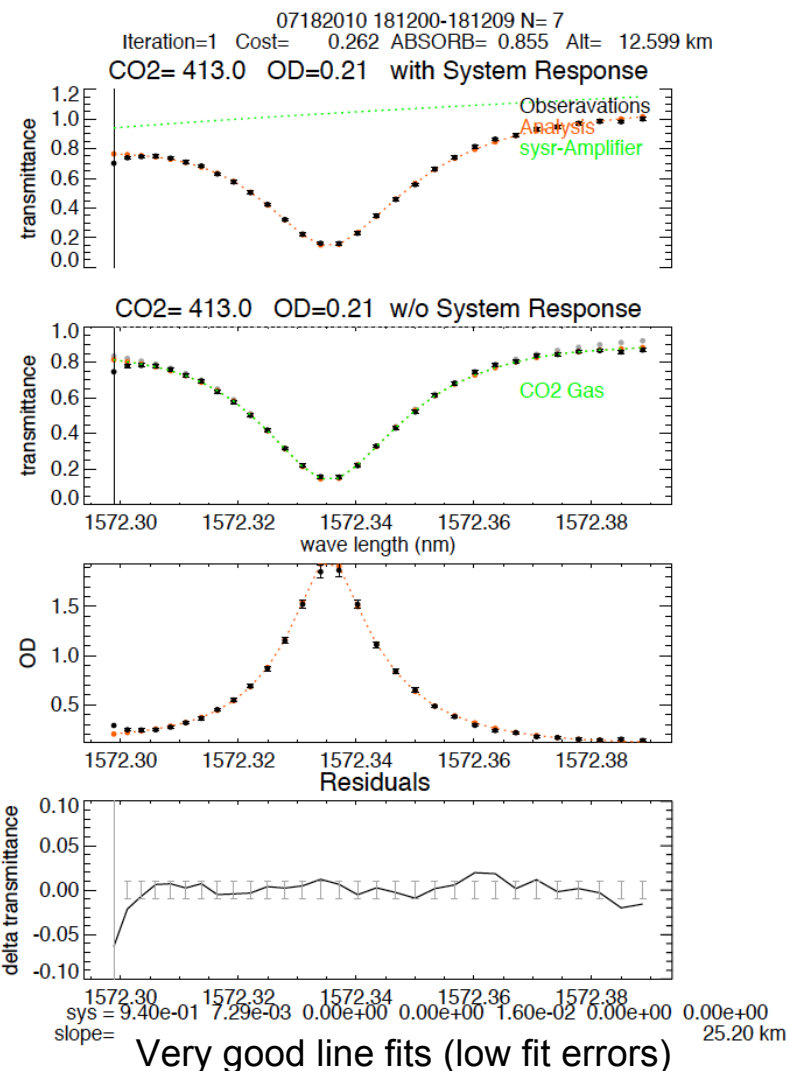
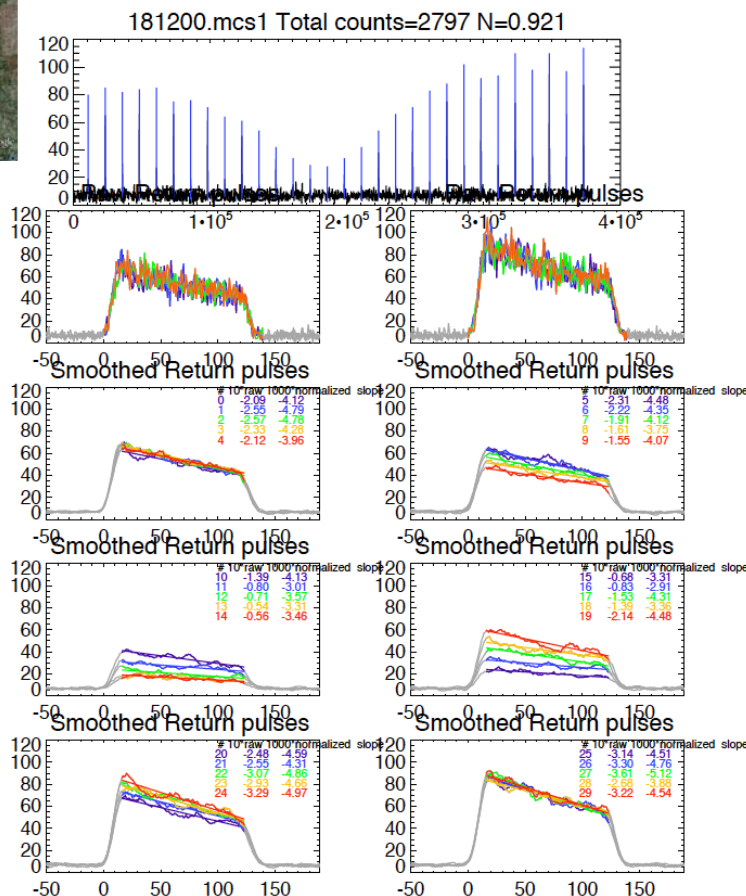


# 2010 Echo Pulse and CO2 Line Shape Examples DOE ARM Site Flight 7-18-10

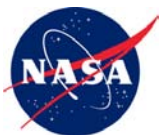


CO2 Lidar Settings for last 3 2010 flights:

- 30 samples across line
- Wavelength span = 87 pm
- Wavelength spacing = 3 pm





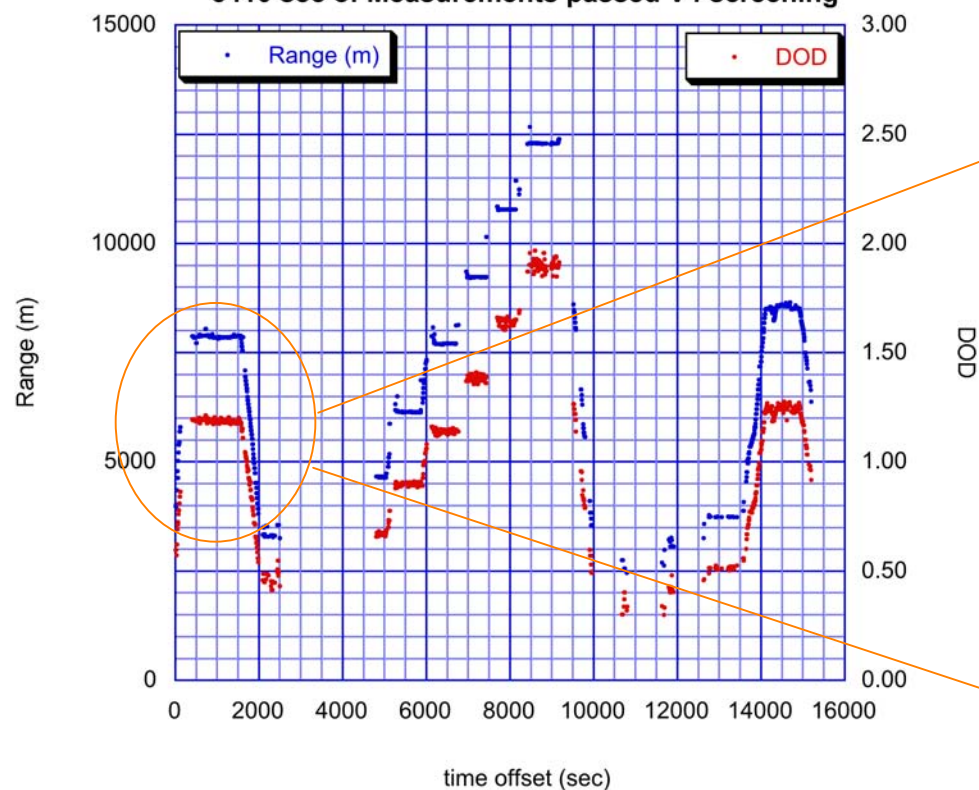


# 2010 Airborne CO2 Measurements Pacific Ocean Flight, July 14, 2010

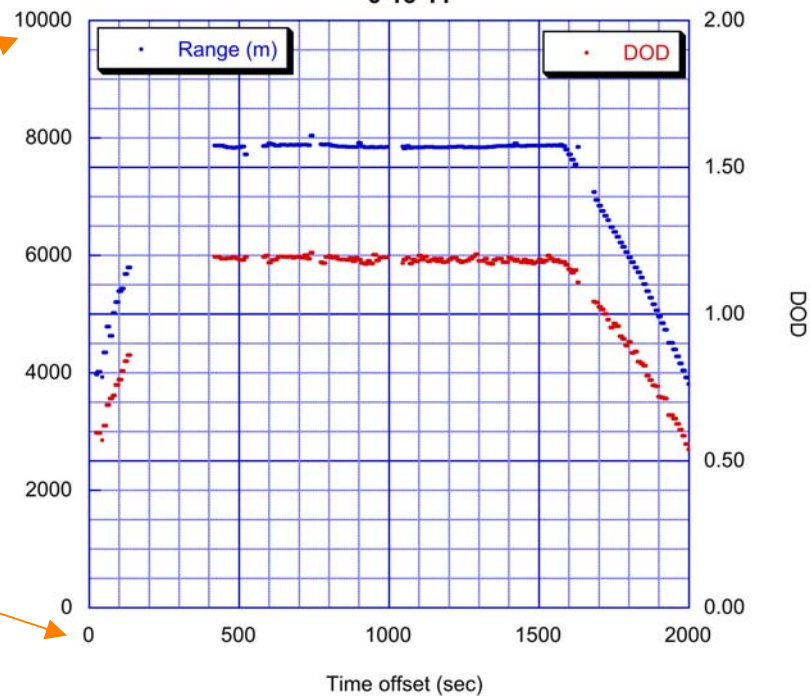


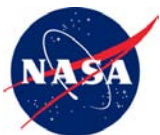
CO2 Ocean Flight 2010  
V4 Processing & Screened  
6-15-11

5410 sec of Measurements passed V4 screening

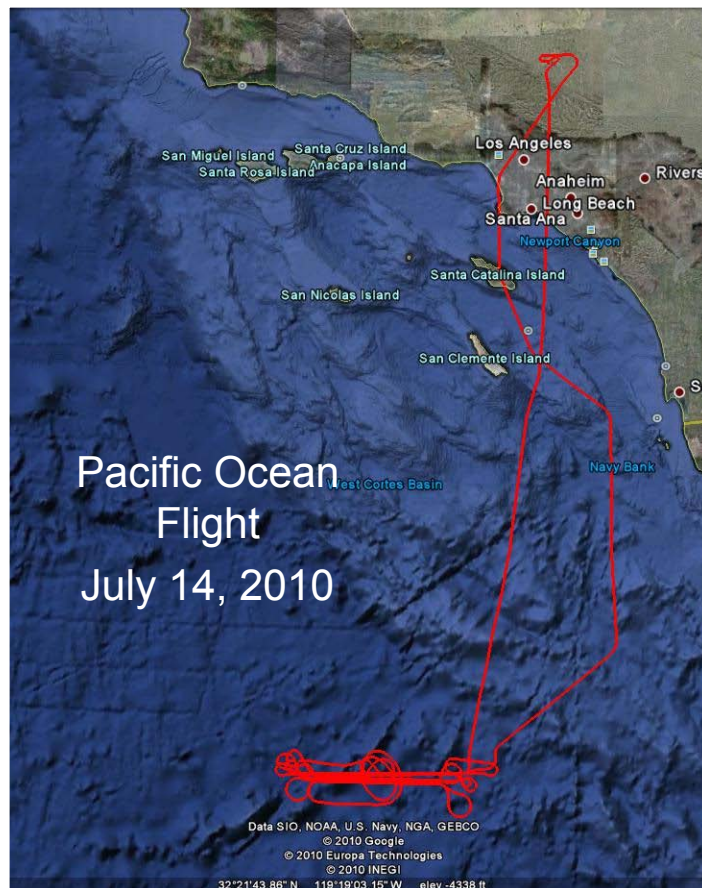


CO2 Ocean Flight 2010  
V4 Processing & Screened  
6-15-11

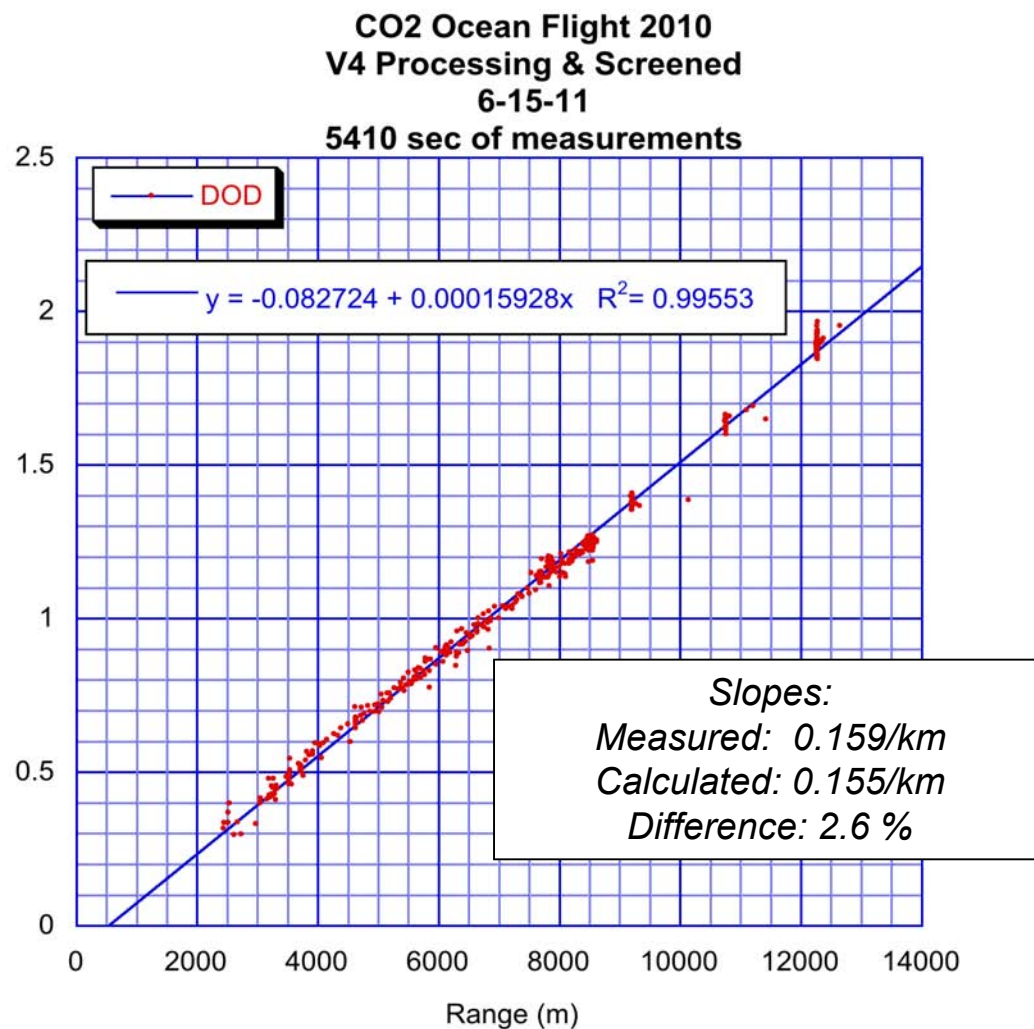




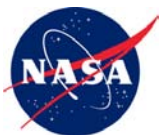
# 2010 Airborne CO2 Measurements Pacific Ocean Flight, July 14, 2010



DOD



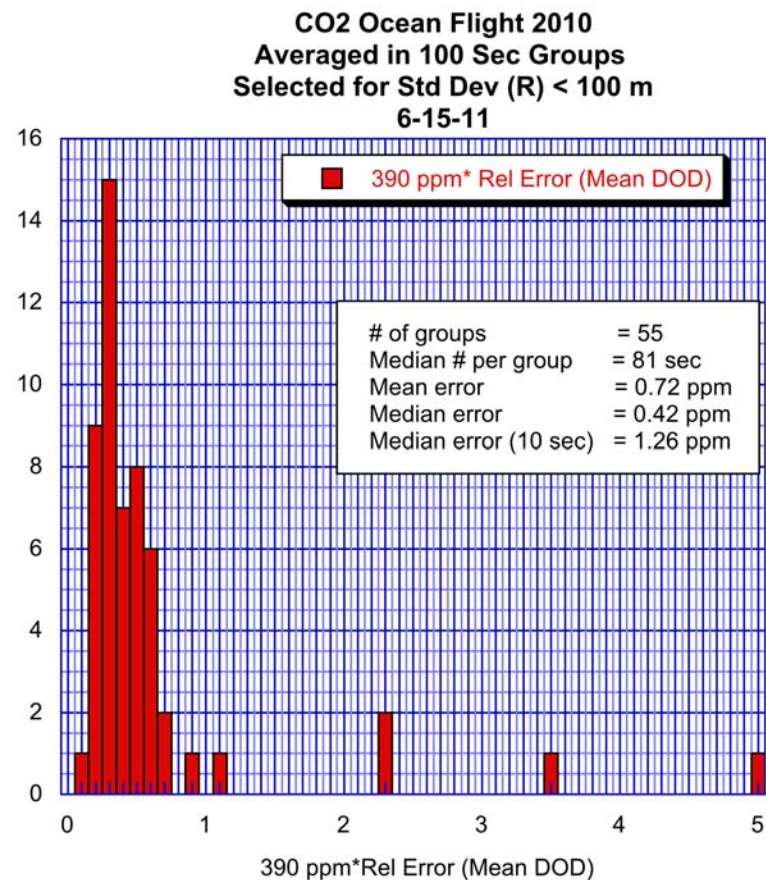
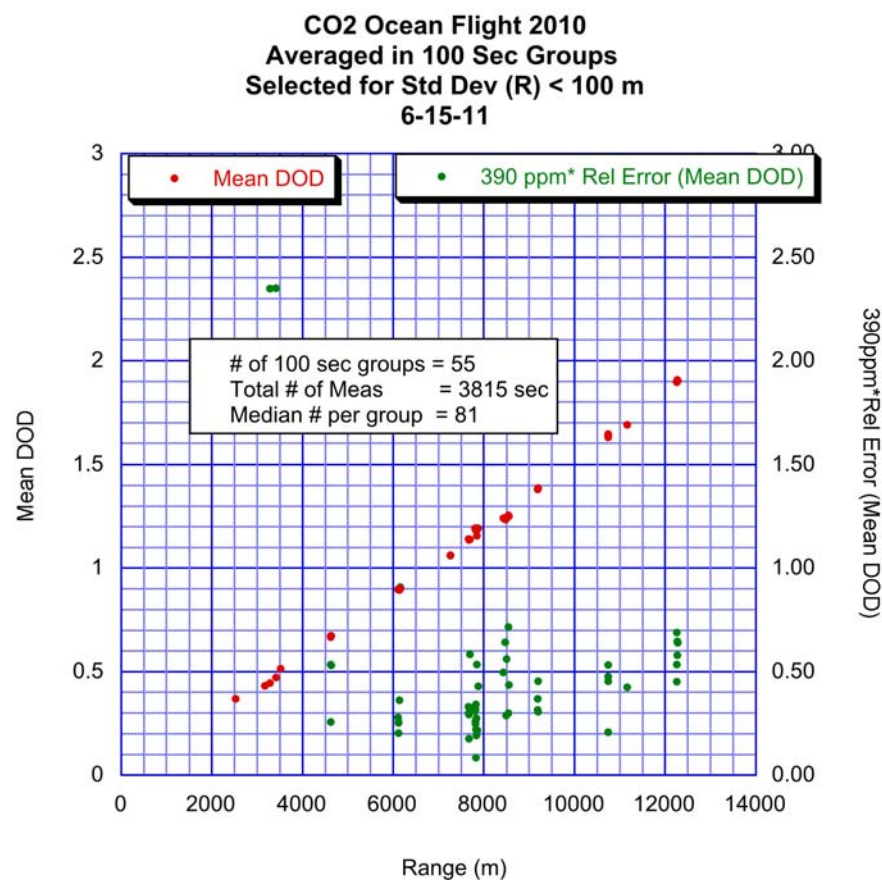




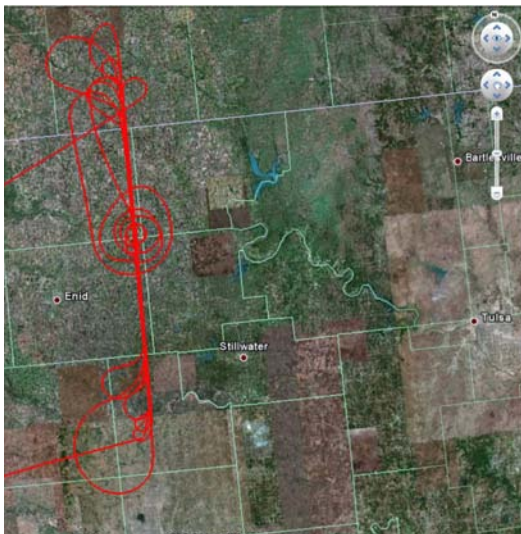
# 2010 Airborne CO2 Measurements Pacific Ocean Flight, July 14, 2010



Median random error (80 sec ave) = 0.42 ppm





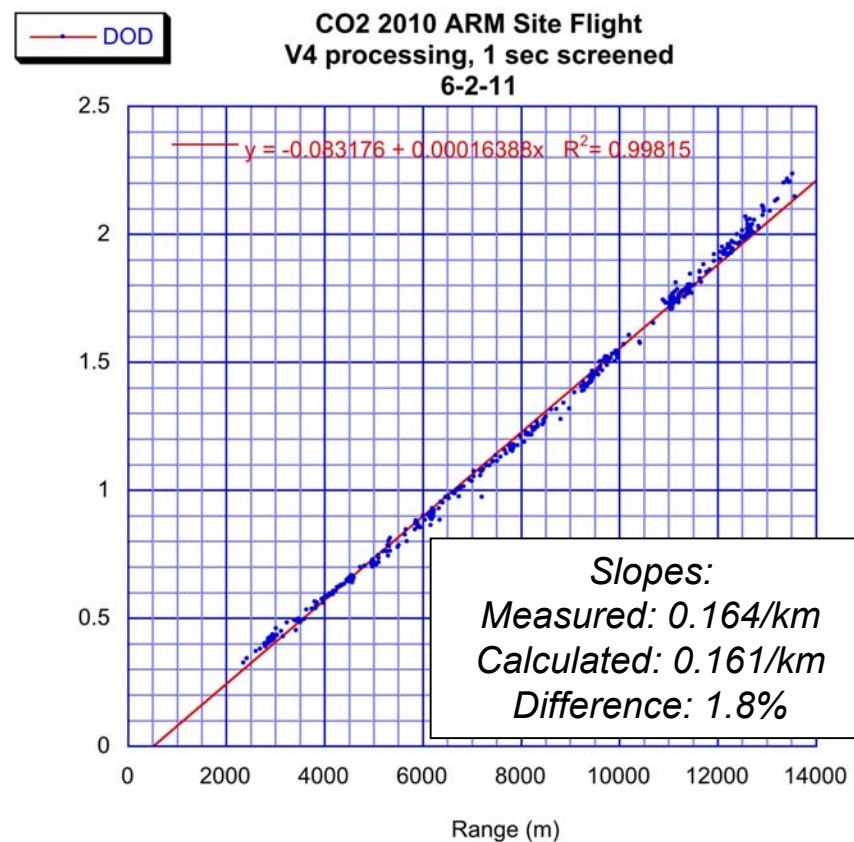
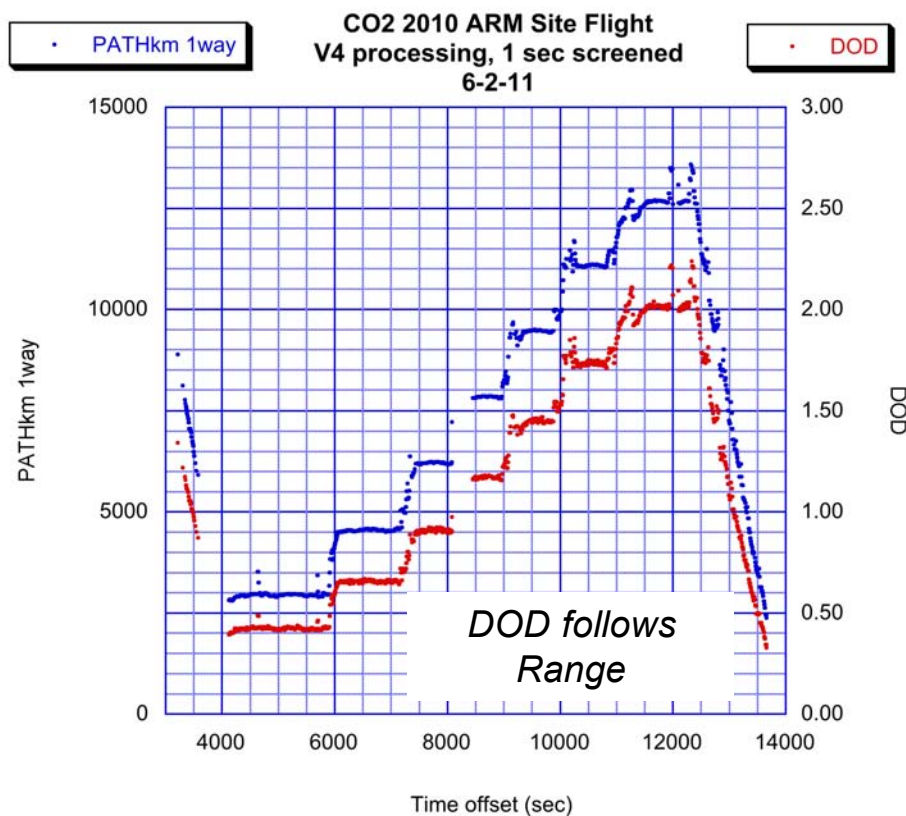


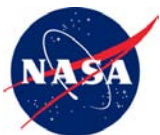
# CO2 2010 Flight Measurements DOE SGP ARM Site Flight 7-18-10



~ 6900 accepted measurements/flight

*Very smooth ~ linear change of  
DOD with Range*

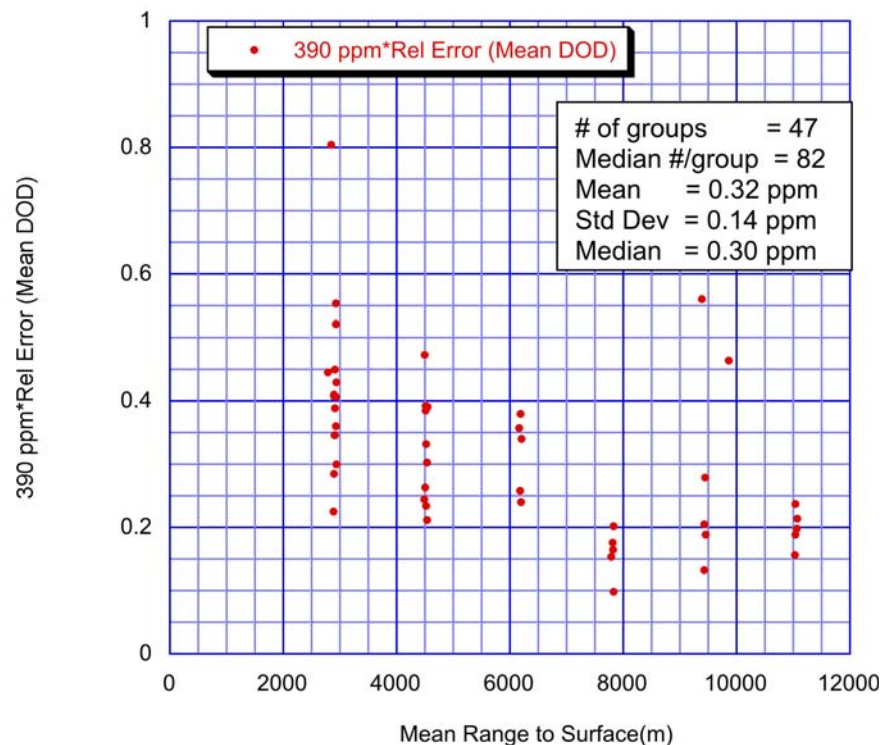




# 2010 CO2 Flight Measurements Arm Site Flight on 7-18-10

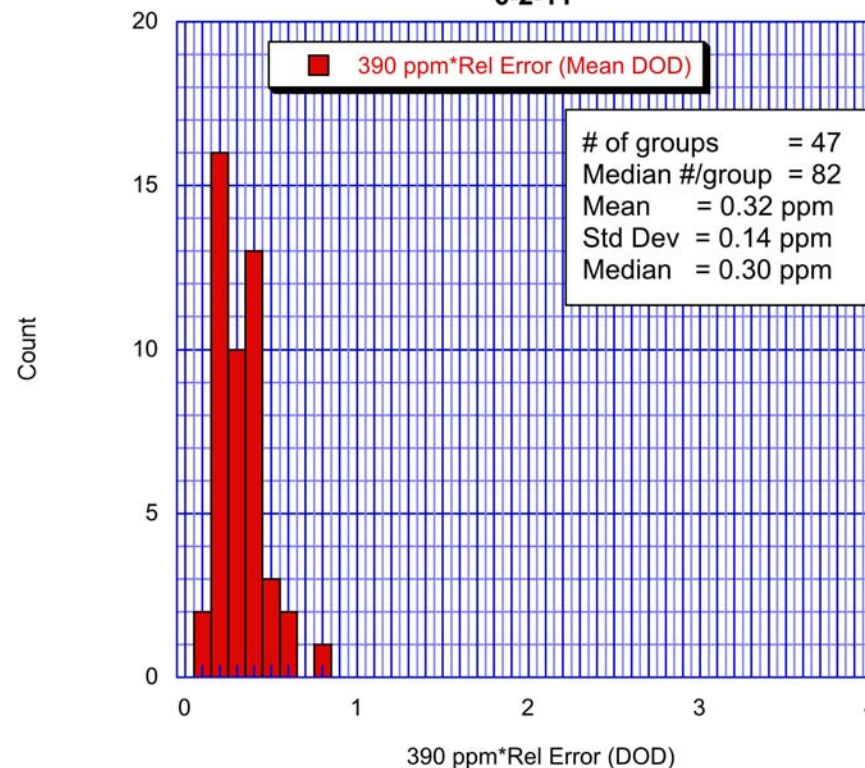


Distribution of Scatter in ppm vs Altitude  
CO2 2010 ARM Site Flight  
100sec groups, Selected for Std Dev (R) < 100 m  
6/7/11



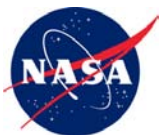
- Median random error (80 sec ave): 0.30 ppm
- Min error (~ 8km, 80 sec ave ): <0.2 ppm

Scatter in Relative Measurement Error  
CO2 ARM Site 2010  
100sec Ave, Selected for Std Dev (R) < 100 m  
6-2-11



- => Median error (10 sec ave): 0.9 ppm
- Similar performance: 8-12 km

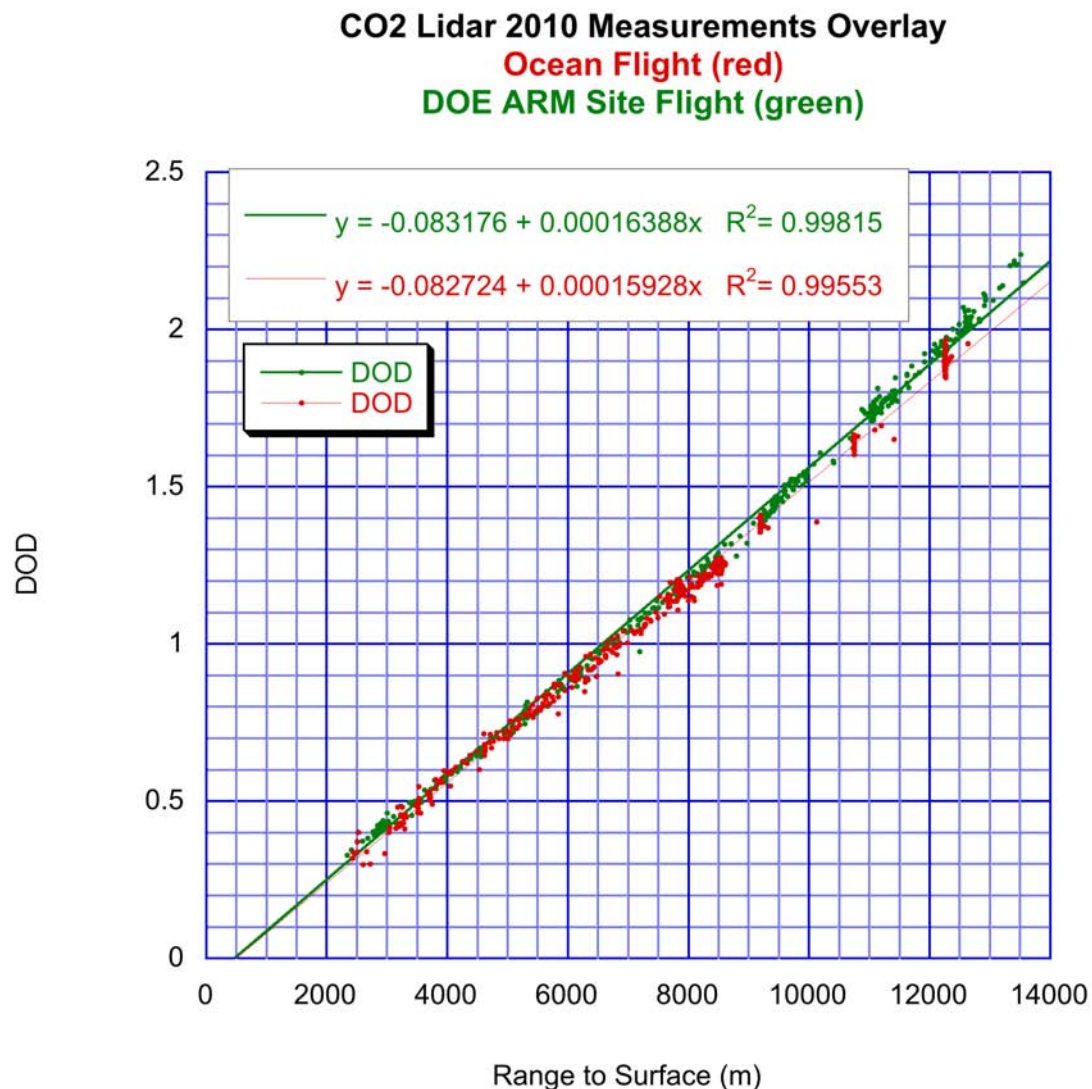




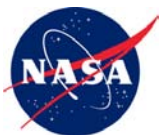
# 2010 Measurement Comparison Ocean & ARM Site Flights



- In-situ measurements & calculations show higher CO<sub>2</sub> column density above ARM site
- Measured DOD slopes:  
ARM Site > Ocean flight
- Lidar readings are consistent with predictions
- Lidar measurements made:
  - 4 days apart
  - Over different surfaces
- Quite encouraging !



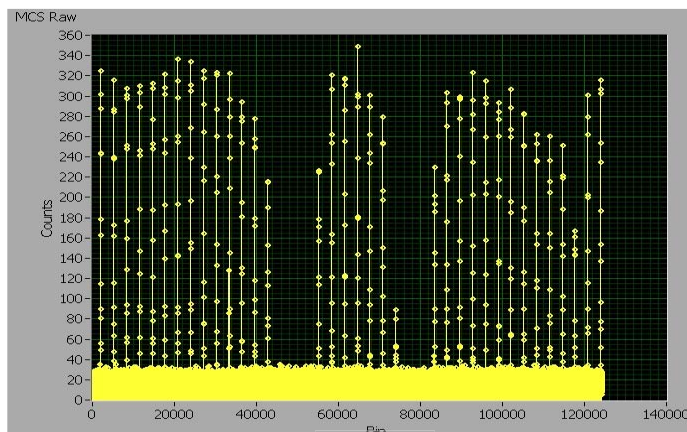




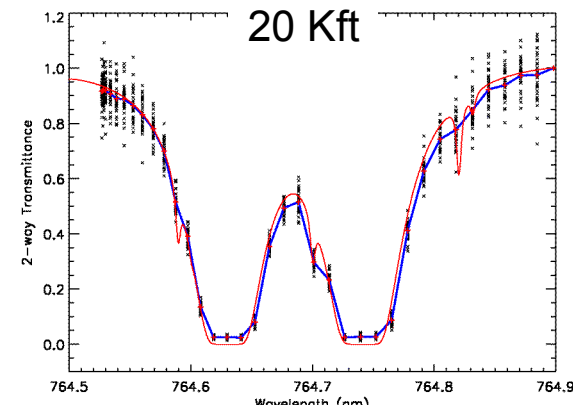
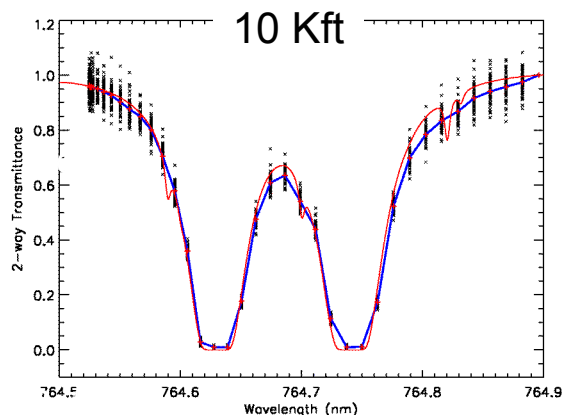
# Airborne O<sub>2</sub> Column Measurements ~765 nm on 2010 Ocean Flight (Haris Riris)



Observations (Lidar echos)

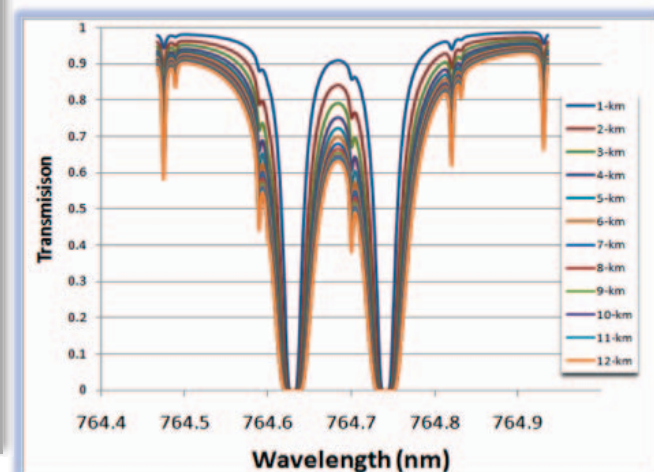
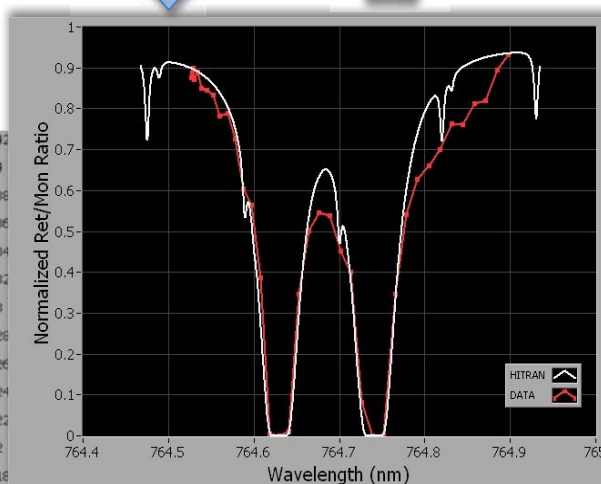


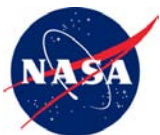
Results:



..... 1 sec Average  
—— 10 min Average  
- - - HITRAN Prediction

Normalizations





# Summary



**Active Sensing of CO<sub>2</sub> Emissions over Nights,  
Days, and Seasons (ASCENDS) Mission**

**NASA Science Definition and Planning Workshop Report**

July 23-25, 2008  
University of Michigan in Ann Arbor, Michigan

Workshop report:

<http://cce.nasa.gov/ascends/index.htm>

## **Made significant progress in developing the CO<sub>2</sub> Sounder approach & key technologies:**

- CO<sub>2</sub> and O<sub>2</sub> (pressure) measurements
  - Line shape & column height measurements
  - Robust against atmospheric scattering
- 1st mission simulations show can meet science needs
- Airborne demonstrations for ASCENDS definition:
  - 2009: CO<sub>2</sub> measurements - analyzed, 1 ppm error
  - 2010: CO<sub>2</sub> measurements show 0.3 ppm errors
  - O<sub>2</sub> absorption measurements demonstrated
- 2011: flights in July: more CO<sub>2</sub> & O<sub>2</sub> improvements
- New IIP-10 award concentrates on “scaling to space”:
  - Laser amplifiers (4 mJ energy): Raytheon
  - More sensitive long life CO<sub>2</sub> detector: DRS

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## Pulsed airborne lidar measurements of atmospheric CO<sub>2</sub> column absorption

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### ABSTRACT

We report initial measurements of atmospheric CO<sub>2</sub> column density using a pulsed airborne lidar operating at 1572 nm. It uses a lidar measurement technique being developed at NASA Goddard Space Flight Center as a candidate for the CO<sub>2</sub> measurement in the Active Sensing of CO<sub>2</sub> Emissions over Nights, Days and Seasons (ASCENDS) space mission. The pulsed multiple-wavelength lidar approach offers several new capabilities with respect to passive spectrometer and other lidar techniques for high-precision CO<sub>2</sub> column density measurements. We developed an airborne lidar using a fiber laser transmitter and photon counting detector, and conducted initial measurements of the CO<sub>2</sub> column absorption during flights over Oklahoma in December 2008. The results show clear CO<sub>2</sub> line shape and absorption signals. These follow the expected changes with aircraft altitude from 1.5 to 7.1 km, and are in good agreement with column number density estimates calculated from nearly coincident airborne in-situ measurements.

## Approach & 2008 flights

### 1. Introduction

Atmospheric CO<sub>2</sub> is presently understood as the largest anthropogenic forcing function for climate change, but there is considerable uncertainty about the global CO<sub>2</sub> budget. Accurate measurements of tropospheric CO<sub>2</sub> abundances are needed to study CO<sub>2</sub> exchange with the land and oceans. To be useful in reducing uncertainties about carbon sources and sinks the atmospheric CO<sub>2</sub> measurements need to have high resolution, with ~0.3% precision (Tans et al., 1990; Fan et al., 1998). The GOSAT mission (Yokota et al., 2004) is making new global CO<sub>2</sub> measurements from space using a passive spectrometer and surface reflected sunlight. However sun angle limitations restrict its measurements to the daytime primarily over mid-latitudes. A concern for measurement accuracy with passive instruments is optical scattering from thin clouds in the measurement path (Mao and Kawa, 2004; Aben et al., 2007). Optical scattering in the measurement path modifies the optical path length and thus the total CO<sub>2</sub> absorption viewed by the instrument. For mea-

surements using spectrometers with reflected sunlight optical scattering can cause large retrieval errors even for thin cirrus clouds (Uchino et al., 2009).

To address these issues, the US National Research Council's 2007 Decadal Survey for Earth Science recommended a new space-based CO<sub>2</sub> measuring mission called Active Sensing of CO<sub>2</sub> over Nights, Days, and Seasons, or ASCENDS (US NRC, 2007). The goals of the ASCENDS mission are to produce global atmospheric CO<sub>2</sub> measurements with much smaller seasonal, latitudinal, and diurnal biases by using the laser absorption spectroscopy measurement approach. The mission's goals are to quantify global spatial distribution of atmospheric CO<sub>2</sub> with 1–2 ppm accuracy, and quantify the global spatial distribution of terrestrial and oceanic sources and sinks of CO<sub>2</sub> on 1-degree grids with 2–3 week time resolution. The ASCENDS approach offers continuous measurements over the cloud-free oceans, at low sun angles and in darkness, which are major improvements over passive sensors. ASCENDS mission organizers held a workshop in 2008 to better define the science and measurement needs and planning for future work (NASA, 2008). ESA has also conducted mission definition studies for a similar space mission called A-SCOPE (ESA, 2008; Durand et al., 2009). Although the ASCENDS mission concept requires

## 2009 Instrument & initial analysis

### A Lidar Approach to Measure CO<sub>2</sub> Concentrations from Space for the ASCENDS Mission

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### ABSTRACT

We report on a lidar approach to measure atmospheric CO<sub>2</sub> column concentration being developed as a candidate for NASA's ASCENDS mission. It uses a pulsed dual-wavelength lidar measurement based on the integrated path differential absorption (IPDA) technique. We demonstrated the approach using the CO<sub>2</sub> measurement from aircraft in July and August 2009 over various locations. The results show clear CO<sub>2</sub> line shape and absorption signals, which follow the expected changes with aircraft altitude from 3 to 13 km. The column absorption measurements show altitude dependence in good agreement with column number density estimates calculated from airborne in-situ measurements. The approaches for O<sub>2</sub> measurements and for scaling the technique to space are discussed.

## Laser Diode locking to CO<sub>2</sub> line

### Frequency stabilization of distributed-feedback laser diodes at 1572 nm for lidar measurements of atmospheric carbon dioxide

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We demonstrate a wavelength-locked laser source that rapidly steps through six wavelengths distributed across a 1572.395 nm carbon dioxide (CO<sub>2</sub>) absorption line to allow precise measurements of atmospheric CO<sub>2</sub> absorption. A distributed-feedback laser diode (DFB-LD) was frequency-locked to the CO<sub>2</sub> line center by using a frequency modulation technique, limiting its peak-to-peak frequency drift to 0.3 MHz at 0.8 s averaging time over 72 hours. Four online DFB-LDs were then offset locked to this laser using phase-locked loops, retaining virtually the same absolute frequency stability. These online and two offline DFB-LDs were subsequently amplitude switched and combined. This produced a precise wavelength-stepped laser pulse train, to be amplified for CO<sub>2</sub> measurements. © 2011 Optical Society of America

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